



RCE  
H600

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>  Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American Inventors Protection Act of 1999 (AIPA).	<b>Application Number</b>	09/994,153
	<b>Filing Date</b>	11/26/01
	<b>First Named Inventor</b>	Richard Hutchings
	<b>Group Art Unit</b>	1624
	<b>Examiner Name</b>	R.L. Raymond
	<b>Attorney Docket Number</b>	ORT-1541

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on (any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on

iii. ☐ Other

b. ☐ Enclosed

i. ☐ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☒ Other-Remarks Accompanying an RCE

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required.)

b. ☐ Other

3. **Fees** - The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 10-0750.

i. ☒ RCE fee is required under 37 C.F.R. § 1.17(e)

ii. ☐ Extension of Time (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED</b>			
Name (print/type)	Ruby T. Hope	Registration No.	34,350
Signature		Date	11/20/03
<b>CERTIFICATE OF MAILING OR TRANSMISSION</b>			
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Name (print/type)	Angelina Petti	Date	11/20/03
Signature			

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Docket No. ORT-1541

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Richard H. Hutchings, Haripada Khatuya, Gee-Hong Kuo, Xiaobing Li,  
William V. Murray, Catherine Prouty, Frank Villani, Nelson C. F. Yim,  
Cynthia Maryanoff

Serial No. : 09/994,153 Art Unit : 1624

Filed : 11/26/01 Examiner : Richard L. Raymond

Title : NOVEL HETEROCYCLES USEFUL IN THE TREATMENT OF  
BENIGN PROSTATIC HYPERPLASIA

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(Signature)  
11/20/03  
(Date of Signature)

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REMARKS ACCOMPANYING AN RCE

Dear Sir:

A Notice of Allowance and Issue Fee Due was mailed for this case on February 25, 2003. After receipt of this notice, the attorney of record was notified that there may be more inventors of the claimed invention that are listed on the filed Oath and Declaration. In order to investigate whether or not inventors need to be named, Applicants request that prosecution is continued and a Request For Continued Examination accompanies these Remarks.

Respectfully submitted,

By: Ruby T. Hope  
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